



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
 NATIONAL VEHICLE AND FUEL EMISSIONS LABORATORY
 2565 PLYMOUTH ROAD
 ANN ARBOR, MICHIGAN 48105-2498

OFFICE OF
 AIR AND RADIATION

Exemption Number: 2020-OCTOBER-LD-TEST-I-13740

11/06/2020

Ex. 6 Personal Privacy (PP)

Sr. Manager - Logistics, Supply Chain
 NIO USA, Inc.
 3200 N. First Street
 San Jose, CA 95134

Dear Ex. 6 Personal Privacy (PP)

This letter is in response to your request received on October 16, 2020 for a testing exemption for a nonconforming vehicle(s) or engine(s) under EPA regulations at 40 CFR 85.1511(b)(2). Based on the information you have provided to us and subject to the terms and conditions set forth below, EPA approves this exemption request for the following vehicle(s)/engine(s):

| QUANTITY | MAKE | MODEL | MODEL YEAR | ID TYPE | ID NUMBER |
|----------|------|-------|------------|-------------------------------|-----------------------------|
| 1 | NIO | ES6 | 2020 | Vehicle Identification Number | Ex. 6 Personal Privacy (PP) |
| 1 | NIO | ES6 | 2020 | Vehicle Identification Number | Ex. 6 Personal Privacy (PP) |
| 1 | NIO | ES6 | 2020 | Vehicle Identification Number | Ex. 6 Personal Privacy (PP) |

This testing exemption is valid from November 02, 2020 until November 02, 2021. This testing exemption covers the subject vehicle(s)/engines(s) only under your full compliance with the enclosed Terms and Conditions. As outlined in the terms and conditions, at the end of the testing period, you must either request an extension of the exemption from the EPA prior to the expiration date or remove the vehicle(s)/engine(s) from exempt status.

A breach of any term or condition shall cause the exemption granted pursuant to this approval to be void. Consequently, the introduction or delivery for introduction into commerce of the subject vehicle(s)/engine(s) other than in strict conformity with all terms and

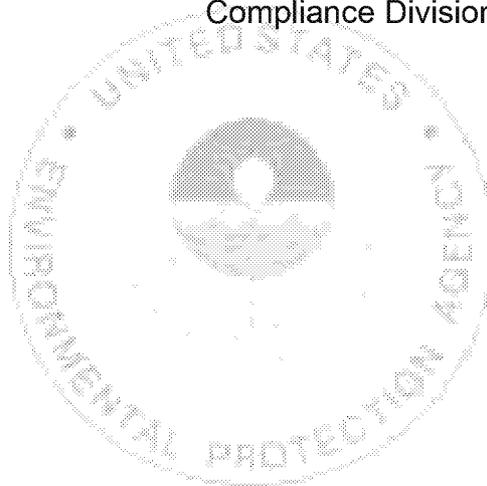
conditions shall constitute a violation of section 203 (a)(1) of the Clean Air Act, and you may be subject to a civil penalty as codified at 40 CFR 1068.101 per violation under section 205 of the Act, as well as other penalties. In addition, noncompliance may result in the seizure of the engine(s) by U.S. Customs and Border Protection.

If you have any questions, please do not hesitate to contact David Hurlin at 734-214-4100 or e-mail to imports@epa.gov.

Sincerely,



Linc Wehrly, Director
Light-Duty Vehicle Center
Compliance Division



Testing Exemption
Terms and Conditions

If you elect to accept the exemption, you must:

If the requestor elects to accept the exemption, the requestor must:

- (a) Agree to conduct the testing program as described in the documentation provided with the initial request upon which this approval is based
- (b) Agree not to sell or otherwise transfer ownership to another party in the U.S.
- (c) Agree not to operate the vehicle(s) on public roads or highways in the U.S. except as necessary for the test program as described in the documentation provided with initial request upon which this approval is based
- (d) Create, maintain, and make available at reasonable times for review or copying by appropriate EPA officials records which provide each engine serial number or vehicle or equipment identification, indicate the use of the engine, vehicle or equipment on exempt status and indicate the final disposition of any engine, vehicle or equipment removed from exempt status; these records shall be maintained by the manufacturer for a period of one (1) year after the engine, vehicle or equipment has been removed from exempt status. For approved exemption requests where VIN(s) are not provided by the requestor at the time of approval, send quarterly reports of the appropriate numbers and dates of when the vehicle(s) are placed under exempt status and when removed from this exemption.
- (e) At the end of the testing period, either request an extension of the exemption from EPA prior to the expiration date or remove the vehicle(s)/engine(s) from exempt status by performing one of the following for each vehicle(s)/engine(s) that is removed from exempt status:
 - (1) Obtain prior EPA approval to extend the exemption (before the current exemption expires for a subsequent one year period) or
 - (2) Regain physical possession of the subject vehicle(s)/engine(s) and remove from commerce by exporting or destroying the vehicle(s)/ engine(s); or
 - (3) Return the vehicle(s)/engine(s) to the original certified configuration or obtain a certificate and certify the vehicle(s) in their new configuration.

(f) Take steps as may be necessary to prevent any violations of the terms and conditions for this exemption by any person.

This exemption shall be deemed to cover the subject vehicle(s)/engine(s) only under full compliance with the above terms and conditions. A breach of any term or condition shall cause the exemption to be void. Consequently, the introduction or delivery for introduction into commerce of the vehicles(s) other than in strict conformity with all terms and conditions shall constitute a violation of section 203 (a)(1) of the Clean Air Act, and you may be subject to a civil penalty as codified at 40 CFR 1068.101 per violation under section 205 of the Act, as well as other penalties. In addition, noncompliance may result in the seizure of the engine(s) by U.S. Customs and Border Protection.

